



ANNUAL BANKING SYMPOSIUM

Innovation: The Great Equalizer

Keynote Speaker



Brian Forde

Senior Lecturer for Bitcoin and Blockchain, MIT Sloan School of Management
Former Senior Advisor for Mobile and Data Innovation, The White House

From Iraq to the White House, Brian Forde has helped to educate heads of state, Fortune 500 CEOs and thousands of others as they race to get up to speed on bitcoin and the blockchain before this new technology disrupts their organizations.

Featured on the cover of *The Economist*, bitcoin and the blockchain have taken the world by storm, and today more than \$1 billion has been invested in startups harnessing this nascent technology. Despite this, bitcoin is still widely misunderstood.

Named one of the “Most Influential People in Bitcoin and Blockchain” and a Young Global Leader by the World Economic Forum, Brian Forde breaks down this complex technology into simple, universally understandable explanations to help audiences quickly grasp one of the most important emerging technologies for the next 10 years.

As the co-founder of the world’s largest academic research initiative on cryptocurrencies at the historic MIT Media Lab, Brian draws upon his experience as both a former senior advisor to the White House and top technology entrepreneur to provide crucial insights and real-life examples of how bitcoin and the blockchain will rapidly impact companies, consumers and governments.

Panelists



Alexander Greenberg

Head of Intellectual Property and Cybersecurity Legal, Americas
Barclays

Alex leads Barclays’ IP and Cybersecurity legal practice in the Americas. In addition to covering all of the bank’s intellectual property matters in the region, Alex regularly advises on incident preparedness, incident response, regulatory awareness and advocacy, regulatory implementation and other projects related to cybersecurity. Alex works closely with Barclays’ Chief Security Office and the broader Legal and Compliance teams. Before joining Barclays in 2013, Alex was a litigator with Fross Zelnick Lehrman & Zissu, P.C. and Kirkland & Ellis LLP. Alex is a graduate of Harvard Law School.



Reetika Grewal

Head of Payments Strategy and Solutions
Silicon Valley Bank

Reetika Grewal is the Head of Payments Strategy and Solutions at Silicon Valley Bank, the premier financial partner to technology, life science, cleantech, venture capital, private equity and premium wine businesses. Reetika joined the company in 2012 to lead the Payments Strategy and Solutions team. This team focuses on internal payments strategy and development as well

as working collaboratively with SVB clients and partners to help deliver payment solutions to the market. She leads SVB's partnership with MasterCard to run Commerce.Innovated., an accelerator program focused on helping early-stage companies innovating across the commerce space. Prior to SVB, Reetika was at JPMorgan Chase where she worked in the Corporate Payments Strategy Group and led strategy and partnerships at Clairmail (later acquired by Monitise). She has also held similar positions at Wells Fargo and Sapien. Reetika earned her bachelor's degree from the University of Michigan and her MBA from Washington University. Reetika was recently awarded as one of The Most Influential Women in Payments, Payments Source and 2015 Top 44 Executives in Banking Changing the Culture, Bank Innovation.



James Mawn

President and Chief Executive Officer
Northern Bank & Trust Company

In 2009, Jim Mawn Jr. assumed the role of President and CEO of Northern Bank from his father, Jim Mawn Sr., who founded the bank in 1960. The bank was founded to support aspiring entrepreneurs. Lending decisions are made quickly and based on common sense. He holds a bachelor of arts degree from Williams College, a juris doctor from Boston College Law School, and a masters of banking law, LLM, from Boston University Law School. He is a member of the Massachusetts Bar.

Jim's willingness to offer support in tough times, assume appropriate risks, and make tough decisions quickly and efficiently propelled the Bank's growth from approximately \$400m in assets to over \$2B. As a privately-held, family-run, full-service bank, Northern moves fast, seizes upon opportunity and its clientele benefit from Northern's promise of certainty of execution. The unique formula is focused on helping clients, communities, and employees succeed.

Each year since Jim took over as CEO, S&P Global Market Intelligence has ranked Northern Bank as the best performing community bank in New England, and in 2015 Northern Bank was recognized as the best performing bank in the country.



Jim Satterfield

President, CEO and Founder
Firestorm

James (Jim) W. Satterfield is the CEO and President of Firestorm[®]. Firestorm, a Novume[™] company, is America's CRISIS COACH.[®] Since 2005, Firestorm has assisted clients in transforming crisis into value. Jim Satterfield is a nationally-recognized expert on crisis management, threat assessment, disaster preparedness, workplace violence, and business continuity planning. Jim has spoken to hundreds of groups advocating a culture of preparedness.

Jim has experience as President, CEO, and COO of various public and private companies in business continuity, communications, crisis management, environmental, insurance, reinsurance, risk management, and technology. Jim has extensive expertise in the identification and quantification of vulnerabilities and risks along with solution design.

Jim has lead the crisis management and crisis communications response in multiple cyber breach incidents, designed crisis breach response and cyber breach crisis communications plans, conducted cyber breach exercises, performed cyber breach after-action hotwash audits/reviews, and created cyber threat Intelligence programs.

Jim's analysis and experience in working with thousands of businesses has led to his creation of the Firestorm PREDICT.PLAN.PERFORM.[®] methodology.

His hands-on crisis management experience provides for a substantive background in



addressing the significant strategic risks and exposures many corporate boards face in today's highly regulated, dynamic environment.

Jim's philosophy is that every crisis is a human crisis. He co-authored "*Disaster Ready People for a Disaster Ready America.*"



Jay Tuli

Senior Vice President of Residential Lending and Retail Banking
Leader Bank

Jay Tuli is Senior Vice President of Residential Lending & Retail Banking at Leader Bank. Mr. Tuli joined Leader Bank in 2006 when Leader Bank was in its 4th year of operations. In the last decade, Leader Bank has become one of the largest residential lenders in Massachusetts, originating consistently over \$2 Billion in annual loan volume. Currently, Leader Bank has grown to \$1.2 Billion in Assets.

Mr. Tuli focuses on new product development, growth strategy, recruiting, and overall operations for the Residential Lending and Retail Banking business units of Leader Bank. Through his leadership, he has introduced several successful programs that have helped fuel the Bank's growth including the Community Bank Jumbo program, Zrent online rent collection for landlords, Zeugma Rewards Checking accounts. Mr. Tuli has also spearheaded the expansion of the Bank's footprint into the Boston Seaport area.

Previously, he worked at Revolution Partners, a Boston-based boutique M&A advisory firm, and also at JP Morgan in New York as a member of the Private Bank Structured Investments group. Jay Tuli graduated Magna Cum Laude from Georgetown University with a double major in Finance and Technology Management and a minor in Economics. Mr. Tuli earned his MBA from Harvard Business School in 2011.

Goodwin Facilitators



Michael Flynn

Partner and Chair of Banking and Consumer Financial Services Practices
Goodwin

Mike Flynn is a partner in Goodwin's Financial Industry and FinTech practices and chair of its Banking and Consumer Financial Services practices. Mr. Flynn has held senior leadership and management positions in industry and government, including service as Acting General Counsel for the U.S. Department of Housing and Urban Development and as General Counsel of both Flagstar Bank and PNC Mortgage. Mr. Flynn applies this unique background in banking, consumer financial services and mortgage to advise clients on matters concerning regulatory, compliance and legal operational issues, secondary market and other transactions, and regulatory enforcement. He is a Fellow of the American College of Consumer Financial Services Lawyers, the American College of Mortgage Lawyers, and the American College of Real Estate Lawyers.



Grant Fondo

Partner and Chair of Digital Currency and Blockchain Technology Practice
Goodwin

Grant Fondo, an experienced federal prosecutor and former Assistant U.S. Attorney in the Northern District of California, is a partner in Goodwin's Securities Litigation + White Collar Defense Group, its Privacy + Cybersecurity Practice and Chair of its Digital Currency + Blockchain Technology Practice. He represents technology, FinTech, blockchain, digital



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currency, life sciences, private equity and venture capital clients in a wide range of contested matters, as well as digital currency and blockchain companies in token sales and other matters. Mr. Fondo is recognized by *Legal500 U.S.* for his work in Financial Services Litigation and White Collar Defense, by *U.S. News Best Lawyers* for his White Collar Defense work, and by Benchmark Litigation as a “Future Star” litigator. He has also been selected as *The American Lawyer’s* “Litigator of the Week” for successful defense at trial of former J.P. Morgan Securities LLC analyst Ashish Aggarwal on 30 counts of criminal conspiracy, insider trading and wire fraud charges, and as a *National Law Journal* White Collar Trailblazer for his work in digital currency.

Mr. Fondo has significant experience conducting trials and appeals in state and federal courts and arbitrations, as well as representing clients before administrative agencies. He focuses his practice on white collar criminal defense, class action and securities litigation, federal and state regulatory matters including before the SEC, FTC, State Attorney Generals, District Attorneys, and FinCEN, M&A litigation, cybersecurity and privacy matters, internal investigations, and commercial disputes.



Samantha Kirby

Partner
Goodwin

Samantha Kirby is a partner in Goodwin’s Financial Industry, Banking and Consumer Financial Services practices. Ms. Kirby advises financial institutions and other financial services firms on a wide range of corporate governance, bank regulatory, public company and transactional matters, including capital offerings (as issuer’s and underwriter’s counsel), mergers and acquisitions, holding company formations, and *de novo* bank chartering. In addition to working with the firm’s full service bank and thrift clients, Ms. Kirby has worked extensively with nonbank financial services providers to establish limited purpose trust banks and other nontraditional vehicles for providing fiduciary and asset management services, and advises banks and trust companies that manage collective investment funds and other commingled pools. She has been selected for inclusion in *Chambers USA: America’s Leading Lawyers for Business*.



Regina Pisa

Chairman Emeritus
Goodwin

Regina Pisa is the Chairman Emeritus of Goodwin, a leading Global 50 law firm serving clients from ten locations in the United States, Europe and Asia. A recognized national business leader, Ms. Pisa’s vision and leadership fostered an unprecedented period of growth for Goodwin. Shortly after assuming her leadership role in 1998, she spearheaded a strategic plan that raised the firm to national prominence. The plan resulted in an expansion to several new markets, an intense focus on high-growth practice areas and the acquisition of lateral attorneys in key markets.

Under her strategic guidance, Goodwin transformed dramatically from a major regional law firm of approximately 300 attorneys, to a leading national firm with more than 900 attorneys, growing its revenue five-fold over that period. Today, Goodwin is well-known as a leader in its service to clients in many industries, including financial institutions, and has received accolades for its outstanding client service and commitment to innovation.

In 2014, *The American Lawyer* recognized Ms. Pisa’s innovative leadership style and vision for big ideas, naming her one of the “Top 50 Big Law Innovators of the Past 50 Years,” and more recently, chose her as its 2017 Distinguished Leader.

In addition to her role as Chairman Emeritus, Ms. Pisa advises clients in the financial services industry. With more than 35 years’ experience, Ms. Pisa has been repeatedly listed in *Chambers USA: America’s Leading Lawyers for Business* and *LawDragon 500*. She is also ranked in *U.S.*



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News-Best Lawyers, which has selected her as Boston's banking and finance "Lawyer of the Year" (2017).

Ms. Pisa advises banking and financial services clients on a wide range of corporate governance and corporate legal matters, including mergers and acquisitions, public and private offerings of debt and equity securities, stock conversions, bank and holding company formations, and other reorganizations of financial institutions and holding companies. Her merger and acquisition work with financial institutions has earned her and the firm national recognition and a place among the nation's top bank M&A practices.

Ms. Pisa also advises clients on bank regulatory matters involving the FRB, FDIC, OCC and other state and federal regulatory agencies. She has also counseled institutional and other investors with respect to transactions involving banks and bank holding companies.



Brenda Sharton

Partner and Chair of Privacy and Cybersecurity Practice
Goodwin

Brenda Sharton, a senior partner with Goodwin, is a first chair trial lawyer with over 25 years of experience. Ms. Sharton is the Chair of Goodwin's Privacy + Cybersecurity practice, and a member of its Financial Industry group. She counsels and represents public and private corporations and their boards in complex commercial litigation, arbitrations and civil government/regulatory matters. Ms. Sharton also is a nationally recognized expert, sought after speaker, and thought leader in the area of privacy law. She has handled hundreds of data privacy breach investigations and has been lead counsel on landmark privacy litigation.

Ms. Sharton currently serves as the Co-Chair of the Business & Commercial section of the Boston Bar Association. She has been recognized for the past several years as a *Boston* magazine "Massachusetts Super Lawyer," recommended for her financial services litigation and privacy work by *The Legal 500 United States* and featured in *U.S. News-Best Lawyers* for her commercial litigation work. Ms. Sharton is a frequent commentator on issues related to data privacy & cybersecurity. She has received awards and recognition for her pro bono work on behalf of rape victims for the Victim Rights Law Center (VRLC), where she serves as Co-Chair of the Board. Ms. Sharton has served on numerous committees at Goodwin, including the firm's Executive Committee. She currently serves on the Partner Compensation Committee.

Ms. Sharton has extensive first chair experience in all manner of claims in complex commercial litigation, internal investigations, arbitrations and civil government regulatory matters, involving contract claims, trade secret, post-closing disputes, non-compete, false advertising, business torts, fiduciary duties, banking and trust claims, fraud, minority shareholder and partnership disputes, among others. She is experienced with virtually every civil claim brought against financial services institutions and has a deep expertise in the industry. She has successfully tried both bench and jury cases to conclusion in federal and state courts throughout the country from Maine to California, and has represented clients in the full range of ADR procedures. In addition to trial work, Ms. Sharton has handled investigations and civil enforcement actions brought by an alphabet soup of federal and state regulators, including the Securities and Exchange Commission (SEC), Internal Revenue Service (IRS), Federal Deposit Insurance Corporation (FDIC), Federal Trade Commission (FTC), Financial Industry Regulatory Authority (FINRA), Department of Labor (DOL), Food and Drug Administration (FDA), Department of Housing and Urban Development (HUD), Office of the Comptroller of the Currency (OCC), Department of Health and Human Services (HHS), Office for Civil Rights (OCR) and Consumer Financial Protection Bureau (CFPB).



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William Stern

Partner
Goodwin

William Stern is a partner in the firm's Financial Industry, Banking, Consumer Financial Services, and FinTech practices. Mr. Stern works on a variety of transactional and regulatory matters for Goodwin's financial services clients. Mr. Stern regularly advises depository institutions and their holding companies on compliance with regulatory requirements related to capital, affiliate and insider transactions, permissible activities and investments, anti-money laundering rules, trust department and asset management operations, and consumer protection. He provides regulatory advice related to merger and acquisition transactions undertaken by the firm's financial services clients as well as advising on change in control requirements related to investments in financial institutions. Mr. Stern also counsels the firm's clients on compliance with federal and state privacy and data protection requirements.



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